

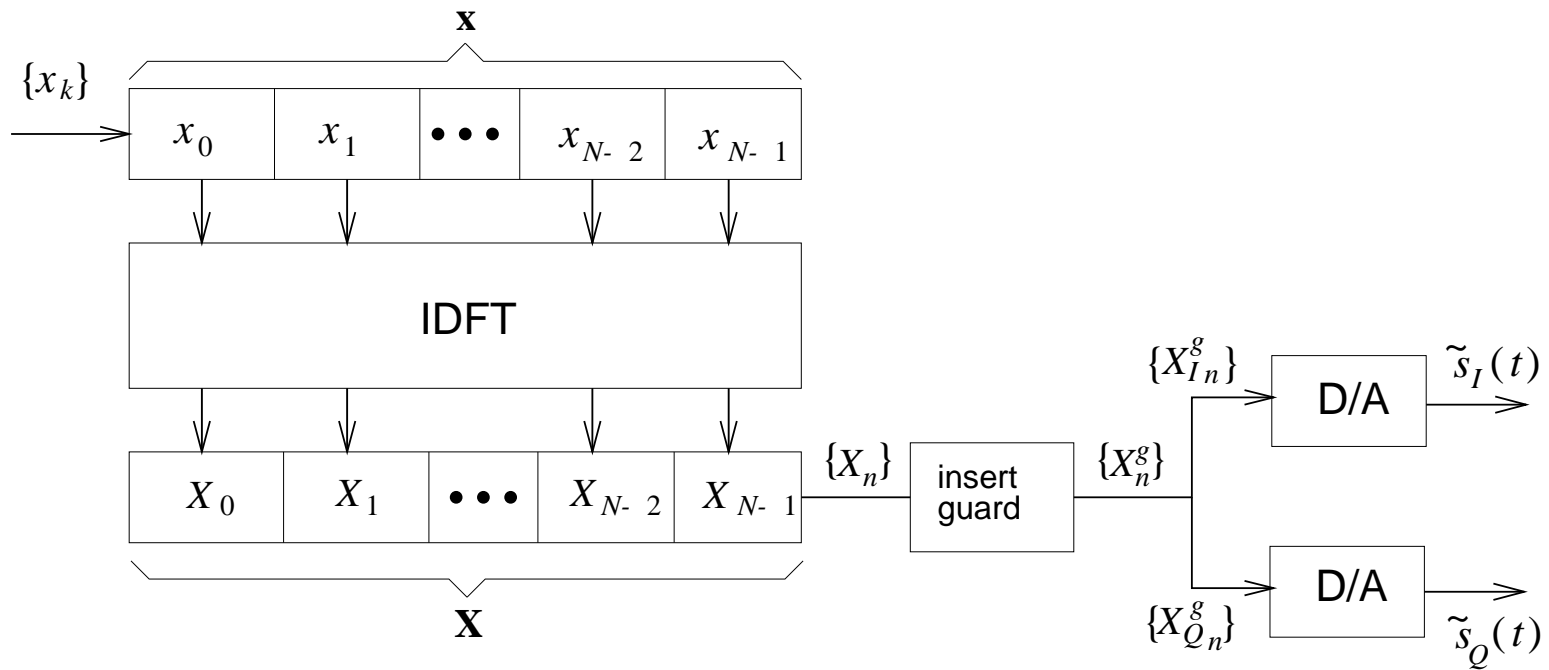
**EE6604**

**Personal & Mobile Communications**

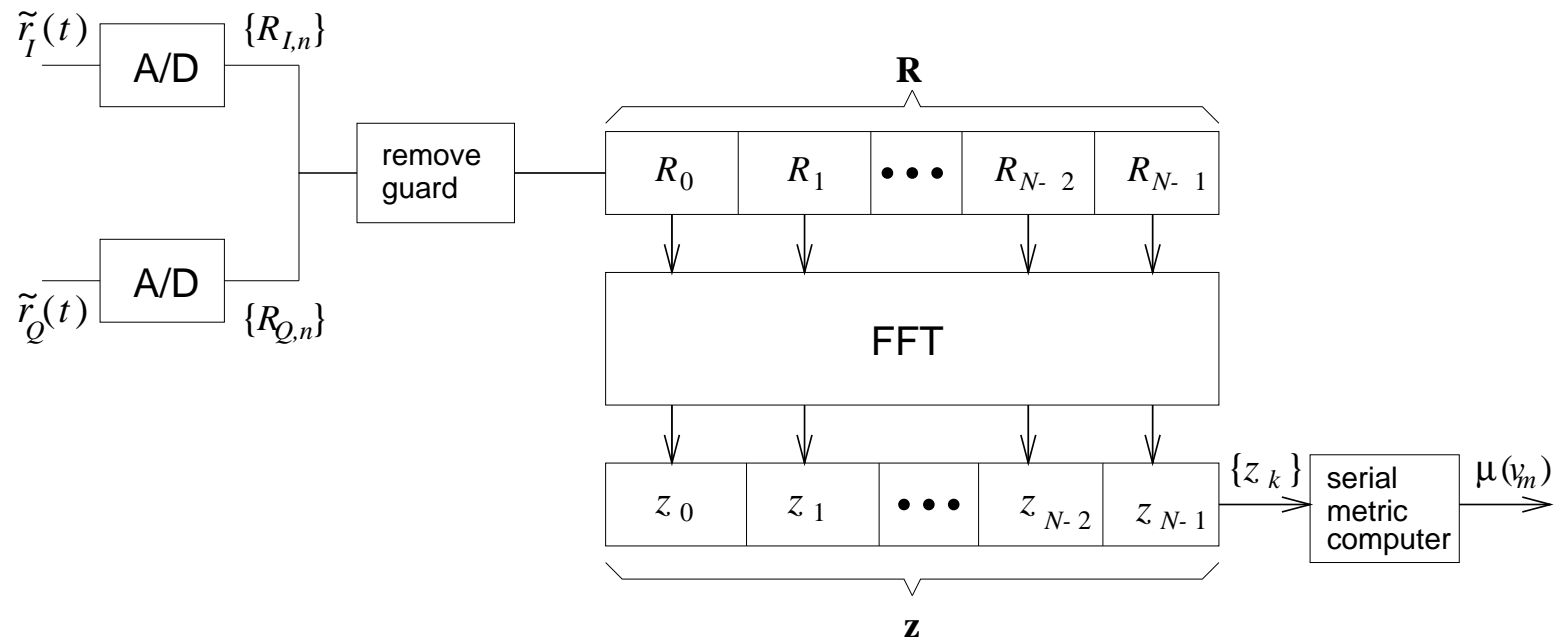
Week 12b

OFDM on AWGN and ISI Channels

Reading: 10.1



*FFT-based OFDM Transmitter*



*FFT-based OFDM Receiver*

## Performance in AWGN

- Suppose that the discrete-time OFDM time-domain sequence with a cyclic suffix,  $\mathbf{X}_n^g = \{X_{n,m}^g\}_{m=0}^{N+G-1}$ , is passed through a balanced pair of digital-to-analog converters (DACs), and the resulting complex envelope is transmitted over a quasi-static flat fading channel with complex gain  $g$ .
- The receiver uses a quadrature demodulator to extract the received complex envelope  $\tilde{r}(t) = \tilde{r}_I(t) + j\tilde{r}_Q(t)$ .
- Suppose that the quadrature components  $\tilde{r}_I(t)$  and  $\tilde{r}_Q(t)$  are each passed through an ideal anti-aliasing filter (ideal low-pass filter) having a cutoff frequency  $1/(2T_s^g)$  followed by an analog-to-digital converter (ADC)
- This produces the received complex-valued sample sequence  $\mathbf{R}_n^g = \{R_{n,m}^g\}_{m=0}^{N+G-1}$ , where

$$R_{n,m}^g = gX_{n,m}^g + \tilde{n}_{n,m} \text{ ,}$$

$g = \alpha e^{j\phi}$  is the complex channel gain, and the  $\tilde{n}_{n,m}$  are the complex-valued Gaussian noise samples.

- For an ideal anti-aliasing filter having a cutoff frequency  $1/(2T_s^g)$ , the  $\tilde{n}_{n,m}$  are independent zero-mean complex Gaussian random variables with variance  $\sigma^2 = \frac{1}{2}\mathbb{E}[|\tilde{n}_{n,m}|^2] = N_o/T_s^g$ , where  $T_s^g = NT_s/(N + G)$ .

## Performance in AWGN

- Assuming a cyclic suffix, the receiver first removes the guard interval according to

$$R_{n,m} = R_{n,G+(m-G)_N}^g, \quad 0 \leq m \leq N-1,$$

where  $(m)_N$  is the residue of  $m$  modulo  $N$ . Demodulation is then performed by computing the FFT on the block  $\mathbf{R}_n = \{R_{n,m}\}_{m=0}^{N-1}$  to yield the vector  $\mathbf{z}_n = \{z_{n,k}\}_{k=0}^{N-1}$  of  $N$  decision variables

$$\begin{aligned} z_{n,k} &= \frac{1}{N} \sum_{m=0}^{N-1} R_{n,m} e^{-\frac{j2\pi km}{N}} \\ &= gAx_{n,k} + \nu_{n,k}, \quad k = 0, \dots, N-1, \end{aligned}$$

where  $A = \sqrt{2E_h/T}$ ,  $T = (N+G)T_s^g$ , and the noise terms are given by

$$\nu_{n,k} = \frac{1}{N} \sum_{m=0}^{N-1} \tilde{n}_{n,m} e^{-\frac{j2\pi km}{N}}, \quad k = 0, \dots, N-1.$$

- It can be shown that the  $\nu_{n,k}$  are zero mean complex Gaussian random variables with covariance

$$\phi_{j,k} = \frac{1}{2} \mathbb{E}[\nu_{n,j} \nu_{n,k}^*] = \frac{N_o}{NT_s^g} \delta_{jk}.$$

Hence, the  $z_{n,k}$  are independent Gaussian random variables with mean  $g\sqrt{2E_h/T}x_{n,k}$  and variance  $N_o/NT_s^g$ .

## Performance in AWGN

- To be consistent with our earlier results for PSK and QAM signals, we can multiply the  $z_{n,k}$  for convenience by the scalar  $\sqrt{NT_s^g}$ . Such scaling gives

$$\tilde{z}_{n,k} = g\sqrt{2E_h N/(N+G)}x_{n,k} + \tilde{v}_{n,k} ,$$

where the  $\tilde{v}_{n,k}$  are i.i.d. zero-mean Gaussian random variables with variance  $N_o$ .

- Notice that  $\sqrt{2E_h N/(N+G)}x_{n,k} = \tilde{s}_{n,k}$  is equal to the complex signal vector that is transmitted on the  $i$ th sub-carrier, where the term  $N/(N+G)$  represents the loss in effective symbol energy due to the insertion of the cyclic guard interval.
- For *each* of the  $\tilde{z}_{n,k}$ , the receiver decides in favor of the *signal vector*  $\tilde{s}_{n,k}$  that minimizes the squared Euclidean distance

$$\mu(\tilde{s}_{n,k}) = \|\tilde{z}_{n,k} - g\tilde{s}_{n,k}\|^2 , \quad k = 0, \dots, N-1 .$$

- It is apparent that the probability of symbol error is identical to that achieved with independent modulation on each of the sub-carriers. This is expected, because the sub-carriers are mutually orthogonal in time.

# Combating ISI with OFDM

- Suppose that the IFFT output vector  $\mathbf{X}_n = \{X_{n,m}\}_{m=0}^{N-1}$  is appended with a cyclic suffix to yield the vector  $\mathbf{X}_n^g = \{X_{n,m}^g\}_{m=0}^{N+G-1}$ , where

$$\begin{aligned} X_{n,m}^g &= X_{n,(m)_N} \\ &= A \sum_{k=0}^{N-1} x_{n,k} e^{j2\pi km/N}, \quad m = 0, 1, \dots, N + G - 1, \end{aligned}$$

$G$  is the length of the guard interval in samples, and  $(m)_N$  is the residue of  $m$  modulo  $N$ . To maintain the data rate  $R_s = 1/T_s$ , the DAC in the transmitter is clocked with rate  $R_s^g = \frac{N+G}{N}R_s$ , due to the insertion of the cyclic guard interval.

- Consider a time-invariant ISI channel with impulse response  $g(t)$ . The combination of the DAC, waveform channel  $g(t)$ , anti aliasing filter, and DAC yields an overall discrete-time channel with sampled impulse response  $\mathbf{g} = \{g_m\}_{m=0}^L$ , where  $L$  is the length of the discrete-time channel impulse response.
- The discrete-time linear convolution of the transmitted sequence  $\{\mathbf{X}_n^g\}$  with the discrete-time channel produces the discrete-time received sequence  $\{R_{n,m}^g\}$ , where

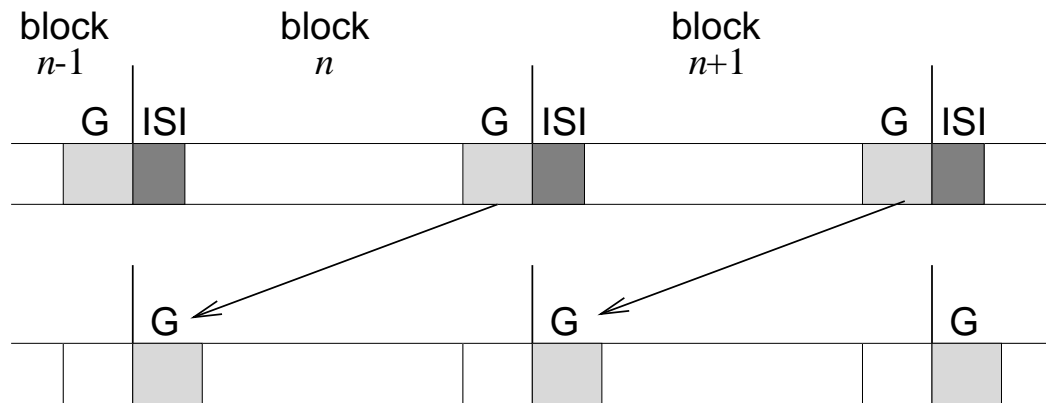
$$R_{n,m}^g = \begin{cases} \sum_{i=0}^m g_i X_{n,m-i}^g + \sum_{i=m+1}^L g_i X_{n-1,N+G+m-i}^g + \tilde{n}_{n,m}, & 0 \leq m < L \\ \sum_{i=0}^L g_i X_{n,m-i}^g + \tilde{n}_{n,m}, & L \leq m \leq N + G - 1 \end{cases}.$$

# Removal of Guard Interval

- To remove the ISI introduced by the channel, the first  $G$  received samples  $\{R_{n,m}^g\}_{m=0}^{G-1}$  are discarded and replaced with the last  $G$  received samples  $\{R_{n,m}^g\}_{m=N}^{N+G-1}$ .
- If the length of the guard interval satisfies  $G \geq L$ , then we obtain the received sequence

$$\begin{aligned}
 R_{n,m} &= R_{n,G+(m-G)_N}^g \\
 &= \sum_{i=0}^L g_i X_{n,(m-i)_N} + \tilde{n}_{n,(m-i)_N}, \quad 0 \leq m \leq N-1.
 \end{aligned}$$

- Note that the first term represents a circular convolution of the transmitted sequence  $\mathbf{X}_n = \{X_{n,m}\}$  with the discrete-time channel  $\mathbf{g} = \{g_m\}_{m=0}^L$ .



*Removal of ISI using a cyclic suffix*



- The OFDM baseband demodulator computes the DFT of the vector  $\mathbf{R}_n$ . This yields the output vector

$$\begin{aligned} z_{n,i} &= \frac{1}{N} \sum_{m=0}^{N-1} R_{n,m} e^{-j\frac{2\pi mi}{N}} \\ &= T_i A x_{n,i} + \nu_{n,i}, \quad 0 \leq i \leq N-1, \end{aligned}$$

where

$$T_i = \sum_{m=0}^L g_m e^{-j\frac{2\pi mi}{N}}$$

and the noise samples  $\{\nu_{n,i}\}$  are i.i.d with zero-mean and variance  $N_o/(NT_s^g)$ .

- Note that  $\mathbf{T} = \{T_i\}_{i=0}^{N-1}$  is the DFT of the zero padded sequence  $\mathbf{g} = \{g_m\}_{m=0}^{N-1}$  and is equal to the sampled frequency response of the channel.
- To be consistent with our earlier results, we can multiply the  $z_{n,i}$  for convenience by the scalar  $\sqrt{NT_s^g}$ , giving

$$\tilde{z}_{n,i} = T_i \hat{A} x_{n,i} + \tilde{\nu}_{n,i} \quad i = 0, \dots, N-1,$$

where  $\hat{A} = \sqrt{2E_h N/(N+G)}$  and the  $\tilde{\nu}_{n,i}$  are i.i.d. zero-mean Gaussian random variables with variance  $N_o$ .

- Observe that each  $\tilde{z}_{n,i}$  depends only on the corresponding data symbol  $x_{n,i}$  and, therefore, the ISI has been completely removed.
- Once again, for *each* of the  $\tilde{z}_{n,i}$ , the receiver decides in favor of the *signal vector*  $\tilde{s}_m$  that minimizes the squared Euclidean distance

$$\mu(\tilde{s}_m) = \|\tilde{z}_{n,i} - T_i \hat{A} x_{n,i}\|^2.$$