ECE 3055
Quiz-2 Review

Computer-System Operation

- I/O devices and the CPU can execute concurrently.
- Each device controller is in charge of a particular device type.
- Each device controller has a local buffer.
- CPU moves data from/to main memory to/from local buffers
- I/O is from the device to local buffer of controller.
- Device controller informs CPU that it has finished its operation by causing an *interrupt*.

2

Common Functions of Interrupts

- Interrupt transfers control to the interrupt service routine generally, through the *interrupt vector*, which contains the addresses of all the service routines.
- Interrupt architecture must save the address of the interrupted instruction.
- Incoming interrupts are *disabled* while another interrupt is being processed to prevent a *lost interrupt*.
- A *trap* is a software-generated interrupt caused either by an error or a user request.
- An operating system is *interrupt* driven.

3

Interrupt Handling

- The operating system preserves the state of the CPU by storing registers and the program counter.
- Determines which type of interrupt has occurred:
  - *polling*
  - vectored interrupt system
- Separate segments of code determine what action should be taken for each type of interrupt
- "Trap" - a software driven interrupt

4

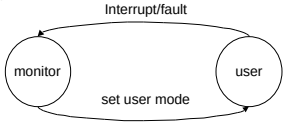
I/O Structure

- Synchronous - After I/O starts, control returns to user program only upon I/O completion.
  - wait instruction idles the CPU until the next interrupt
  - wait loop (contention for memory access).
  - At most one I/O request is outstanding at a time, no simultaneous I/O processing (for a single "thread") .
- Asynchronous - After I/O starts, control returns to user program without waiting for I/O completion.
  - *System call* – request to the operating system to allow user to wait for I/O completion.
  - *Device-status table* contains entry for each I/O device indicating its type, address, and state.
  - Operating system indexes into I/O device table to determine device status and to modify table entry to include interrupt.

5

Dual-Mode Operation

- Sharing system resources requires operating system to ensure that an incorrect program cannot cause other programs to execute incorrectly.
- Provide hardware support to differentiate between at least two modes of operations.
  1. *User mode* – execution done on behalf of a user.
  2. *Monitor mode* (also *supervisor mode* or *system mode*) – execution done on behalf of operating system.



6

Process Management

- A *process* is a program in execution. A process needs certain resources, including CPU time, memory, files, and I/O devices, to accomplish its task.
- The operating system is responsible for the following activities in connection with process management.
  - Process creation and deletion.
  - process suspension and resumption.
  - Provision of mechanisms for:
    - process synchronization
    - process communication

7

Main-Memory Management

- Memory is a large array of words or bytes, each with its own address. It is a repository of quickly accessible data shared by the CPU and I/O devices.
- Main memory is a volatile storage device. It loses its contents in the case of system failure.
- The operating system is responsible for the following activities in connections with memory management:
  - Keep track of which parts of memory are currently being used and by whom.
  - Decide which processes to load when memory space becomes available.
  - Allocate and deallocate memory space as needed.

8

Secondary-Storage Management

- Since main memory (*primary storage*) is volatile and too small to accommodate all data and programs permanently, the computer system must provide *secondary storage* to back up main memory.
- Most modern computer systems use disks as the principle on-line storage medium, for both programs and data.
- The operating system is responsible for the following activities in connection with disk management:
  - Free space management
  - Storage allocation
  - Disk scheduling

9

I/O System Management

•The I/O system consists of:
- A buffer-caching system
- A general device-driver interface
- Drivers for specific hardware devices

10

Command-Interpreter System

- Many commands are given to the operating system by control statements which deal with:
  - process creation and management
  - I/O handling
  - secondary-storage management
  - main-memory management
  - file-system access
  - protection
  - networking
- The program that reads and interprets control statements is called variously:
  - control-card interpreter
  - command-line interpreter
  - shell (in UNIX)
  - GUI = Desktop + Window Manager

Its function is to get and execute the next command statement.

11

Operating System Services

- Program execution – system capability to load a program into memory and to run it.
- I/O operations – since user programs cannot execute I/O operations directly, the operating system ust provide some means to perform I/O.
- File-system manipulation – program capability to read, write, create, and delete files.
- Communications – exchange of information between processes executing either on the same computer or on different systems tied together by a network. Implemented via *shared memory* or *message passing*.
- Error detection – ensure correct computing by detecting errors in the CPU and memory hardware, in I/O devices, or in user programs.

12

System Calls

- System calls provide the interface between a running program and the operating system.
  - Generally available as assembly-language instructions.
  - Languages defined to replace assembly language for systems programming allow system calls to be made directly (e.g., C. Bliss, PL/360)
- Three general methods are used to pass parameters between a running program and the operating system.
  - Pass parameters in *registers*.
  - Store the parameters in a table in memory, and the table address is passed as a parameter in a register.
  - *Push* (store) the parameters onto the *stack* by the program, and *pop* off the stack by operating system.

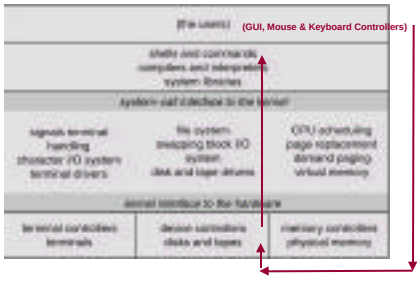
13

System Programs

- System programs provide a convenient environment for program development and execution. The can be divided into:
  - File manipulation
  - Status information
  - File modification
  - Programming language support
  - Program loading and execution
  - Communications
  - Application programs
- Most users' view of the operation system is defined by system programs, not the actual system calls.

14

UNIX System Structure



15

Virtual Machines

- A *virtual machine* takes the layered approach to its logical conclusion. It treats hardware and the operating system kernel as though they were all hardware.
- A virtual machine provides an interface *identical* to the underlying bare hardware.
- The operating system creates the illusion of multiple processes, each executing on its own processor with its own (virtual) memory.

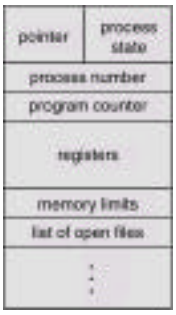
16

Process Concept

- An operating system executes a variety of programs:
  - Batch system – jobs
  - Time-shared systems – user programs or tasks
- Textbook uses the terms *job* and *process* almost interchangeably.
- Process – a program in execution; process execution must progress in sequential fashion.
- A process includes:
  - program counter
  - stack
  - data section
- As a process executes, it changes *state*
  - new: The process is being created.
  - running: Instructions are being executed.
  - waiting: The process is waiting for some event to occur.
  - ready: The process is waiting to be assigned to a process.
  - terminated: The process has finished execution.

17

Process Control Block (PCB)



18

Context Switch

- When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process.
- Context-switch time is overhead; the system does no useful work while switching (frequently the major bottleneck in modern systems)
- Time dependent on hardware support.

19

Threads

- A *thread* (or *lightweight process*) is a basic unit of CPU utilization; it consists of:
  - program counter
  - register set
  - stack space
- A thread shares with its peer threads its:
  - code section
  - data section
  - operating-system resources

  collectively know as a *task*.
- A traditional or *heavyweight* process is equal to a task with one thread

20

Threads

- Responsiveness (Blocked and non-blocked)
- Resource Sharing
- Economy
- Utilization of Multi-Proc. Architectures
- User and Kernal-supported threads
  - Many-to-One
  - One-to-One
  - Many-to-Many

21

Module 9: Memory Management

- Logical versus Physical Address Space
- Swapping
- Contiguous Allocation
- Paging
- Segmentation
- Segmentation with Paging

22

Binding of Instructions and Data to Memory

Address binding of instructions and data to memory addresses can happen at three different stages.

- **Compile time**: If memory location known a priori, absolute code can be generated; must recompile code if starting location changes. Locations on Stack (address = offset from Stack Point).
- **Load time**: Must generate *relocatable* code if memory location is not known at compile time.
- **Execution time**: Binding delayed until run time if the process can be moved during its execution from one memory segment to another. Need hardware support for address maps (e.g., *base* and *limit registers*).

23

Logical vs. Physical Address Space

- The concept of a logical *address space* that is bound to a separate *physical address space* is central to proper memory management.
  - *Logical address* – generated by the CPU; also referred to as *virtual address*.
  - *Physical address* – address seen by the memory unit.
- Logical and physical addresses are the same in compile-time and load-time address-binding schemes; logical (virtual) and physical addresses differ in execution-time address-binding scheme.

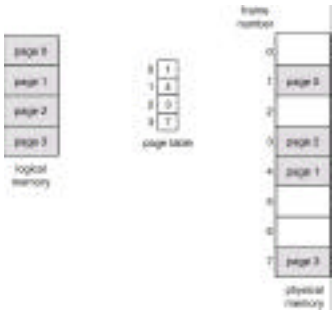
24

Swapping

- A process can be *swapped* temporarily out of memory to a *backing store*, and then brought back into memory for continued execution.
- Backing store – fast disk large enough to accommodate copies of all memory images for all users; must provide direct access to these memory images.
- *Roll out, roll in* – swapping variant used for priority-based scheduling algorithms; lower-priority process is swapped out so higher-priority process can be loaded and executed.
- Major part of swap time is transfer time; total transfer time is directly proportional to the *amount* of memory swapped.
- Modified versions of swapping are found on many systems, i.e., UNIX and Microsoft Windows.

25

Paging Example



26

Segmentation

- Memory-management scheme that supports user view of memory.
- A program is a collection of segments. A segment is a logical unit such as:

  main program,
  procedure,
  function,
  local variables, global variables,
  common block,
  stack,
  symbol table, arrays

27

Module 10: Virtual Memory

- Background
- Demand Paging
- Performance of Demand Paging
- Page Replacement
- Page-Replacement Algorithms (Optimal, FIFO, LRU)
- Allocation of Frames
- Thrashing
- Other Considerations
- Demand Segmentation

28

- Virtual memory – separation of user logical memory from physical memory.
  - Only part of the program needs to be in memory for execution.
  - Logical address space can therefore be much larger than physical address space.
  - Need to allow pages to be swapped in and out.
- Virtual memory can be implemented via:
  - Demand paging
  - Demand segmentation

29

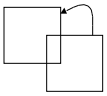
Demand Paging

- Bring a page into memory only when it is needed.
  - Less I/O needed
  - Less memory needed
  - Faster response
  - More users
- Page is needed reference to it
  - invalid reference abort
  - not-in-memory bring to memory

30

Page Fault

- If there is ever a reference to a page, first reference will trap to
  OS page fault
- OS looks at another table to decide:
  - Invalid reference abort.
  - Just not in memory.
- Get empty frame.
- Swap page into frame.
- Reset tables, validation bit = 1.
- Restart instruction: Least Recently Used
  - block move



31

Demand Paging Example

- Memory access time = 1 microsecond
- 50% of the time the page that is being replaced has been modified and therefore needs to be swapped out.
- Swap Page Time = 10 msec = 10,000 usec

EAT = (1 – p) x 1us + p (15000us)

1 + 15000 P (in usec)

Obviously we would like P to be much less than 1

If P = 0.0001, then EAT = 1 + 1.5 = 2.5 usec

32

Module 11: File-System Interface

- File Concept
- Access Methods
- Directory Structure
- Protection
- File-System Structure
- Allocation Methods
- Free-Space Management
- Directory Implementation
- Efficiency and Performance
- Recovery

33

File Attributes

- **Name** – only information kept in human-readable form.
- **Type** – needed for systems that support different types.
- **Location** – pointer to file location on device.
- **Size** – current file size.
- **Protection** – controls who can do reading, writing, executing.
- **Time**, **date**, **and user identification** – data for protection, security, and usage monitoring.
- Information about files are kept in the directory structure, which is maintained on the disk.

34

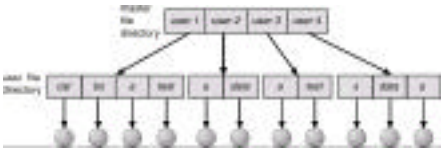
File Operations

- create
- write
- read
- reposition within file – file seek
- delete
- truncate
- open(F_i) – search the directory structure on disk for entry F_i, and move the content of entry to memory.
- close (F_i) – move the content of entry F_i in memory to directory structure on disk.

35

Two-Level Directory

- Separate directory for each user.

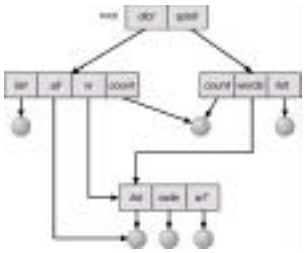


- Path name
- Can have the same file name for different user
- Efficient searching
- No grouping capability

36

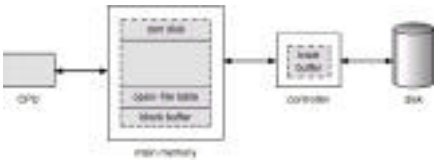
Acyclic-Graph Directories

- Have shared subdirectories and files (no circle paths - versus a “General-Graph Directory)



37

Various Disk-Caching Locations



38

Module 12: I/O Systems

- I/O hardware
- Application I/O Interface
- Kernel I/O Subsystem
- Transforming I/O Requests to Hardware Operations
- Performance

39

Interrupts

- CPU Interrupt request line triggered by I/O device
- Interrupt handler receives interrupts
- Maskable to ignore or delay some interrupts
- Interrupt vector to dispatch interrupt to correct handler
  - Based on priority
  - Some unmaskable
- Interrupt mechanism also used for exceptions

40

- I/O system calls encapsulate device behaviors in generic classes
- Device-driver layer hides differences among I/O controllers from kernel
- Devices vary in many dimensions
  - Character-stream or block
  - Sequential or random-access
  - Sharable or dedicated
  - Speed of operation
  - read-write, read only, or write only
- Block devices include disk drives
  - Commands include read, write, seek
  - Raw I/O or file-system access
  - Memory-mapped file access possible
- Character devices include keyboards, mice, serial ports
  - Commands include `get, put`
  - Libraries layered on top allow line editing

41

Improving Performance

- Reduce number of context switches, page faults
- Reduce data copying
- Reduce interrupts by using large transfers, smart controllers, polling
- Use DMA
- Balance CPU, memory, bus, and I/O performance for highest throughput

42

Module 13: Secondary-Storage

- Disk Structure
- Disk Scheduling
- Disk Management
- Swap-Space Management
- Disk Reliability
- Stable-Storage Implementation
- Tertiary Storage Devices
- Operating System Issues
- Performance Issues

43

Disk Scheduling

- The operating system is responsible for using hardware efficiently — for the disk drives, this means having a fast access time and disk bandwidth.
- Access time has two major components
  - *Seek time* is the time for the disk are to move the heads to the cylinder containing the desired sector.
  - *Rotational latency* is the additional time waiting for the disk to rotate the desired sector to the disk head.
  - - 7200 rpm / 60 - 120 rps -> 8 ms per rotation
- Minimize seek time
- Seek time ≈ seek distance
- Disk bandwidth is the total number of bytes transferred, divided by the total time between the first request for service and the completion of the last transfer.
- Access algorithms: FCFS (FIFO), SSTF, SCAN, C-SCAN, LOOK, C-LOOK)

44

Swap-Space Management

- Swap-space — Virtual memory uses disk space as an extension of main memory.
- Swap-space can be carved out of the normal file system,or, more commonly, it can be in a separate disk partition.
- Swap-space management
  - 4.3BSD allocates swap space when process starts; holds *text segment* (the program) and *data segment.*
  - Kernel uses *swap maps* to track swap-space use.
  - Solaris 2 allocates swap space only when a page is forced out of physical memory, not when the virtual memory page is first created.
- Disk striping uses a group of disks as one storage unit.
- RAID schemes improve performance and improve the reliability of the storage system by storing redundant data.
  - *Mirroring* or *shadowing* keeps duplicate of each disk.
  - *Block interleaved parity* uses much less redundancy.

45